

**Allen, Dyer, Doppelt,
Milbrath & Gilchrist, P.A.**

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F A C S I M I L E C O V E R S H E E T

To:

**U.S. PATENT & TRADEMARK
OFFICE**

— ExaminerHyeon

MATTER NUMBER:

78346DIV1

TELEPHONE:

571-272-2093

FAX: 571-273-2093

TELEPHONE-RECEIPT
VERIFICATION:

703-305-3028

FROM:

CHARLES E. WANDS, ESQ. /Lois Love

DATE: January 14, 2005

NUMBER OF PAGES (INCLUDING COVER SHEET): 20

COMMENTS/INSTRUCTIONS:

Re: U.S. Application Serial No. 10/618234
Our Docket No. 78346DIV1

Per our telecommunication, here is the 1449 listing associated with the Request for consideration of previously submitted IDS which was faxed on January 11, 2005.

NOTE: The information in this facsimile transmission is intended only for the personal and confidential use of the designated recipient(s) named above. This message may be an attorney-client communication and as such is privileged.

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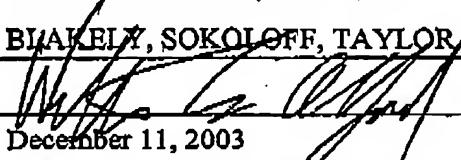
PAGE 1/20 * RCVD AT 1/14/2005 11:07:40 AM [Eastern Standard Time] * SVR:USPTO-EFAX-1/24 * DNIS:2732093 * CSID:13219847078 * DURATION (mm-ss):05-54

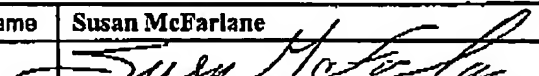
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Received in cpc
01/21/05

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	10/618,234
		Filing Date	July 11, 2003
		First Named Inventor	Edwin Dair
		Art Unit	2874
		Examiner Name	Unassigned
Total Number of Pages in This Submission	24	Attorney Docket Number	3918P014D

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 60px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William E. Alford, Reg. No. 37,764 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	December 11, 2003

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Susan McFarlane		
Signature		Date	December 11, 2003

Based on PTO/SB/21 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (M) 09/11/2003.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Docket No.: 3918P014D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

EDWIN DAIR, ET AL.

Application No.: 10/618,234

Filed: July 11, 2003

For: **METHODS AND APPARATUS FOR
FIBER-OPTIC MODULES WITH
SHIELDED HOUSINGS/COVERS WITH
FINGERS**

Art Group: 2874

Examiner: Unassigned

**RECEIVED
CENTRAL FAX CENTER****JAN 14 2005****INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/782,875, filed February 12, 2001) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

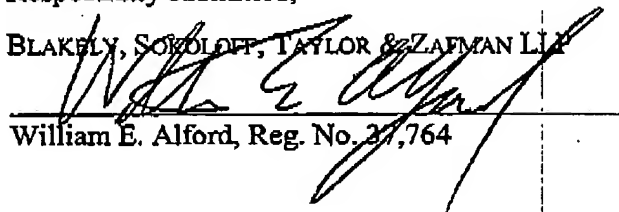
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

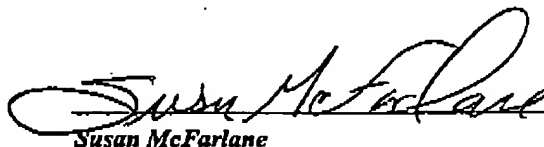
BLAKELY, SOLOLOFF, TAYLOR & ZAFMAN LLP

Date: December 11, 2003


William E. Alford, Reg. No. 37,764

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (714) 557-3800

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Susan McFarlane

12-11-03

Date

**FEE TRANSMITTAL
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)-0-

Complete if Known

Application Number	10/618,234
Filing Date	July 11, 2003
First Named Inventor	Edwin Dair
Examiner Name	Unassigned
Group/Art Unit	2874
Attorney Docket No.	3918P014D

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit AccountDeposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.19 and 1.20.
☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	285	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	285	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims: - 20 = × =
Independent Claims: - 3 = × =
Multiple Dependent: × =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claims, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

*or number previously paid, if greater. For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1061	130	2061	65	Surcharge - late filing fee or oath	
1062	60	2062	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,620	1812	2,620	For filing a request for ex parte reexamination	
1804	920	1804	920	* Requesting publication of SIR prior to Examiner action	
1805	1,840	1805	1,840	* Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	1,210	2255	605	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1481	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or release)	
1502	490	2502	240	Design issue fee	
1503	640	2803	320	Plant issue fee	
1460	130	2460	130	Podligns to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Name (Print/Type)

William E. Alford

Registration No.
(Attorney/Agent)

37,764

Telephone

(714) 557-3800

Signature

Date

12/11/03

Based on PTO/5B-17 (09-03) as modified by Blakely, Sokoloff, Taylor & Zafman (WI) 09/11/2003.
SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1450

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JAN 14 2005

Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known:

Application Number	10/618,234
Filing Date	July 11, 2003
First Named Inventor:	Dair, et al.
Art Unit	2874
Examiner Name	Unassigned
Attorney Docket Number	003918.P014D

Sheet 1 of 19

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		1,661,535	03/06/1928	Haddock	
		1,765,443	06/24/1930	Peterson	
		1,875,968	09/06/1932	Weeber	
		1,955,648	04/17/1934	Nickler	
		2,062,256	11/24/1936	Del Camp	
		2,065,651	12/29/1936	Burton	
		2,133,789	10/18/1938	Pool	
		2,169,962	08/15/1939	Del Camp	
		2,219,941	10/29/1940	Rochow	
		2,317,813	04/27/1943	Schoenborn	
		2,321,587	06/15/1943	Davie, et al.	
		2,349,440	05/23/1944	Lavole	
		2,447,380	08/17/1948	Wenger	
		2,477,267	07/26/1949	Robinson	
		2,488,710	11/22/1949	Cooper	
		2,520,725	08/29/1950	Judd	
		2,531,911	11/28/1950	Johnson	
		2,604,507	07/22/1952	Tyson	
		2,629,764	02/24/1953	Wiley	
		2,704,301	03/15/1955	Feketics	

FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Foreign Patent Document Country Code ² -Number ³ -Kind Code ⁴ (if known)	Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵

Examiner
Signature

Date Considered

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	10/618,234	
Sheet 2 of 19				Filing Date	July 11, 2003	
				First Named Inventor:	Dair, et al.	
				Art Unit	2874	
				Examiner Name	Unassigned	
				Attorney Docket Number	3918P014D	
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	Document Number		Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		2,753,390		07/03/1956	Feketics	
		2,762,990		09/11/1956	Kielsenstiin	
		2,783,295		02/26/1957	Ewing	
		2,790,153		04/23/1957	Arson	
		2,793,245		05/21/1957	Dunn	
		2,800,698		07/30/1957	Wood	
		2,825,042		02/25/1958	Tollefson, et al.	
		2,844,644		07/22/1958	Boule, Jr.	
		2,853,541		09/23/1958	Lindgren	
		2,872,139		02/03/1959	Bedford, Jr.	
		2,876,275		03/03/1959	Schulz	
		2,974,183		03/07/1961	Kes, et al.	
		2,978,531		04/04/1961	Appleman	
		3,019,281		01/30/1962	Hartwell	
		3,026,367		03/20/1962	Hartwell	
		3,052,821		09/04/1962	Scoville	
		3,056,942		10/02/1962	Carbaugh et al.	
		3,099,506		07/30/1963	Tuchel	
		3,128,138		04/07/1964	Noschese	
		3,217,085		11/09/1965	Lindgren	

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Country Code ²	Number ³	Kind Code ⁴ (if known)				

Examiner Signature		Date Considered	
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Based on PTO/SB/09A (04-03) as modified by BSTZ 5/20/03.
 SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	10/618,234	
Sheet 3 of 19				Filing Date	July 11, 2003	
				First Named Inventor:	Dair, et al.	
				Art Unit	2874	
				Examiner Name	Unassigned	
				Attorney Docket Number	3918P014D	
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	Document Number		Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		3,234,318		02/08/1966	Lieshout, et al.	
		3,270,252		08/30/1966	Iversen	
		3,277,230		10/04/1966	Stickney, et al.	
		3,300,687		01/24/1967	Hennessey	
		3,304,360		02/14/1967	Hadley, et al.	
		3,305,623		02/21/1967	Bakker, et al.	
		3,311,792		03/28/1967	Scoville	
		3,340,587		09/12/1967	Beyer	
		3,341,102		03/12/1967	Stephens, et al.	
		3,366,918		01/30/1968	Johnson, et al.	
		3,368,150		02/06/1968	Worcester	
		3,370,140		02/20/1968	Betts	
		3,385,970		05/28/1968	Coffin, Jr. et al.	
		3,407,261		10/22/1968	Donath, et al.	
		3,413,406		11/26/1968	Plummer	
		3,423,670		07/21/1969	Parker, et al.	
		3,426,140		02/04/1969	Templeton	
		3,436,467		04/01/1969	Smith, Jr.	
		3,474,385		10/21/1969	Cefarelli, et al.	
		3,234,318		02/08/1966	Knowles	

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete If Known		
				Application Number	10/618,234	
Sheet 4 of 19				Filing Date	July 11, 2003	
				First Named Inventor:	Dair, et al.	
				Art Unit	2874	
				Examiner Name	Unassigned	
				Attorney Docket Number	3918P014D	
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	Document Number		Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		3,475,657		10/28/1969	Knowles	
		3,487,186		12/30/1969	Johnson, et al.	
		3,504,095		03/31/1970	Roberson, et al.	
		3,506,877		04/14/1970	Owen	
		3,524,137		08/11/1970	Radfield, et al.	
		3,535,676		10/20/1970	Schultz, Sr.	
		3,536,820		10/27/1970	Stevens, et al.	
		3,546,359		12/08/1970	Ciccarelli	
		3,555,168		01/12/1971	Frykberg	
		3,566,336		02/23/1971	Johnson, et al.	
		3,569,915		03/09/1971	Sorensen, et al.	
		3,594,490		07/20/1971	Mitchell, et al.	
		3,617,611		11/02/1971	Kuether	
		3,627,900		12/14/1971	Robinson	
		3,659,251		04/25/1972	Fish	
		3,670,292		07/13/1972	Tracy	
		3,721,746		03/20/1973	Knappenberger	
		3,784,233		01/08/1974	Hilbert	
		3,816,911		06/18/1974	Knappenberger	
		3,825,874		07/23/1974	Peverill	

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^o
		Country Code ²	Number ³	Kind Code ⁴ (If known)				

Examiner Signature		Date Considered	
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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/618,234
Sheet 5 of 19				Filing Date	July 11, 2003
				First Named Inventor:	Dair, et al.
				Art Unit	2874
				Examiner Name	Unassigned
				Attorney Docket Number	3918P014D
U.S. PATENT DOCUMENTS					
Exam. Initial ^a	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		3,852,700	12/03/1974	Haws	
		3,854,107	12/10/1974	Tucto, et al.	
		3,864,011	02/04/1975	Huber	
		3,871,735	03/18/1975	Herrmann, Jr.	
		3,878,397	04/15/1975	Robb, et al.	
		3,883,715	05/13/1975	Gebo	
		3,885,084	05/20/1975	Kaiserswerth, et al.	
		3,889,043	06/10/1975	Jean Ducros	
		3,904,810	09/09/1975	Kraus	
		3,907,396	09/23/1975	Huber	
		3,909,726	09/30/1975	Dobrovolsky, et al.	
		3,914,001	10/21/1975	Nelson, et al.	
		3,922,056	11/25/1975	Murawski, et al.	
		3,944,317	03/16/1976	Oberdieck	
		3,952,152	04/20/1976	Lill, et al.	
		3,962,550	06/08/1976	Kaiserswerth	
		4,012,042	04/30/1991	Summach	
		4,018,989	04/19/1977	Snyder, et al.	
		4,020,430	04/26/1977	Vander Heyden	
		4,037,009	07/19/1977	Severinsen	

FOREIGN PATENT DOCUMENTS								
Exam. Initial ^a	Cite No. ¹	Foreign Patent Document			Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^a
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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Based on PTO/SB/08A (04-03) as modified by BSTZ 5/20/03.
 SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known:		
				Application Number	10/618,234	
Sheet 6 of 19				Filing Date	July 11, 2003	
				First Named Inventor:	Dair, et al.	
				Art Unit	2874	
				Examiner Name	Unassigned	
				Attorney Docket Number	3918P014D	
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Exam. Initial*	Cite No. ¹	Document Number		Patented Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		4,053,199		10/01/1977	Hollyday, et al.	
		4,110,552		08/29/1978	Lombardi	
		4,111,513		09/05/1978	Thurston, et al.	
		4,115,655		09/19/1978	Prentice	
		4,138,711		02/06/1979	Bremenour, et al.	
		4,148,543		04/10/1979	Shores	
		4,149,027		04/10/1979	Asher, et al.	
		4,176,897		12/04/1979	Cameron	
		4,215,796		08/05/1980	Johnson, et al.	
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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				

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				Application Number	10/618,234
				Filing Date	July 11, 2003
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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/618,234
				Filing Date	July 11, 2003
				First Named Inventor:	Dair, et al.
				Art Unit	2874
				Examiner Name	Unassigned
Sheet	17	of	19	Attorney Docket Number	3918P014D
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